Atty Dkt No. 94-045-TAX



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

2188

MICHAEL L. LEONHARDT ET AL.

Examiner:

Not Assigned

Serial No.:

10/803,137

Filed:

March 10, 2004

For:

OUTBOARD DATA STORAGE MANAGEMENT SYTEM

AND METHOD

Attorney Docket No.: 94-045-TAX

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 C.F.R. § (e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB/08A are being submitted herewith for consideration by the Examiner. The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Statement, i.e., April 1, 2004.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

	CERTIFICATE OF MA	ILING UNI	DER 37 C.F.R. § 1.8
I hereby certify that this pap	er, including all enclosures	referred to	herein, is being deposited with the United States
Postal Service as first-class	mail, postage pre-paid, in a	in envelope	addressed to: Assistant Commissioner for Patents.
Washington, D.C. 20231 on		•	1
11.110001			1014 11/2
1 (01111/4004	Stephanie Kiepp	4	Hall like
Date of Deposit	Name of Person Signing	-1-	Signature (X)

Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

MICHAEL L. LEONHARDT ET AL.

Timothy R. Schulte Reg. No. 29,013

Attorney/Agent for Applicant

Date: June _____, 2004

STORAGE TECHNOLOGY CORPORATION

One StorageTek Drive, MS-4309 Louisville, Colorado 80028-4309

Telephone: 303-673-5989 Facsimile: 303-673-4151

PTO/SB/08A (10-96) [MODIFIED]
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

Complete if Known substitute for Form 1449A/PTO **Application Number** 10/803,137 INFORMATION DISCLOSURE Filing Date March 10, 2004 STATEMENT BY APPLICANT **First Named inventor** Leonhardt et al. Group Art Unit 2188 (use as many sheets as necessary) **Examiner Name** Not Assigned Sheet 1 **Attorney Docket Number** 94-045-TAX

U.S. PATENT DOCUMENTS								
Examine Cite No.1	U.S. PATENT DOCUMENT	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	Number		DD-1111					
		5,394,532	Belsan	02/28/1995				
		5,403,639	Belsan et al.	04/04/1995				
	<u> </u>							
			,					

	FOREIGN PATENT DOCUMENTS									
	Cite		Foreign Patent Document				Name of Patentee or	Date of Publication	Pages, Columns,	T⁵
	No.¹	Offic	e ³	Number ⁴		Code ^s nown)	Applicant of Cited Document	of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear	:
		WO	00	41510		A_	Storage Technology	20 July 2000		
		WO	01	31431		Α	Storage Technology	3 May 2001		
			<u> </u>							
		<u> </u>	<u> </u>							
L			<u> </u>							

Examiner		Date	
Signature		Considered	
	·	 	·

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.